

CALIFORNIA AFFILIATED RISK MANAGEMENT AUTHORITIES
(CARMA)

CONFLICT OF INTEREST CODE

The Political Reform Act (Government Code Section 81000, et. seq.) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. Sec. 18730) which contains the terms of the standard conflict of interest code, which can be incorporated by reference in an agency's code. After public notice and hearing it may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 Cal. Code of Regs. Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendix designating officials and employees and establishing disclosure categories, shall constitute the conflict of interest code of the **CALIFORNIA AFFILIATED RISK MANAGEMENT AUTHORITIES**.

It has been determined that the positions listed below manage public investments and will file a statement of economic interests pursuant to Government Code Section 87200:

Treasurer
Assistant Treasurer

Designated employees shall file their statements with the **CALIFORNIA AFFILIATED RISK MANAGEMENT AUTHORITIES** who will make the statements available for public inspection and reproduction. (Gov. Code Section 81008). Statements for all designated employees shall be retained by the agency.

APPROVED
JANUARY 8, 2016

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APPENDIX TO THE CONFLICT OF INTEREST CODE

<u>Designated Positions</u>	<u>Disclosure Category</u>
Members and Alternates of the Board of Directors	1, 2, 3, and 4
Administrator	1, 2, 3, and 4
Litigation Manager	1, 2, 3, and 4
Risk Manager	1, 2, 3, and 4
Consultants	* * *

DISCLOSURE CATEGORIES:

Disclosure Category 1

Persons designated in this category must disclose business entities in which they have an investment, business position, or which are sources of income to them if such entities filed claims against the California Affiliated Risk Management Authorities or any of its members during the reporting period.

Disclosure Category 2

Persons designated in this category must disclose business entities in which they have an investment, business position, or which are sources of income to them if such entities are of the type in which the California Affiliated Risk Management Authorities is empowered to invest its funds.

Disclosure Category 3

Persons designated in this category must disclose business entities in which they have an investment, business position, or which are sources of income to them if such entities are of the type that contract with the California Affiliated Risk Management Authorities to supply goods, services, materials, supplies, or leased space.

Disclosure Category 4

Persons designated in this category must disclose investments and business positions in business entities and sources of income that are insurance companies, holding companies investing in insurance companies, insurance underwriting agencies, insurance brokers, or insurance agencies.

* * *Disclosure Category - Consultants

Consultants shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitations:

As to consultants, the administrator may determine in writing that a particular consultant, although a "designated position", is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The administrator's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code.